

**S.Y.B.F.M**

**Semester – III**

## SEMESTER III

M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Debt Market -1

Name of the Faculty: Rugved Shivgan

| Month | Topic to be covered  | No.of lectures |
|-------|--|----------------|
| June  | <ul style="list-style-type: none"><li>• Evolution of Debt Markets in India</li><li>• Primary market &amp; secondary market</li><li>• Money market &amp; Debt Market in India</li><li>• Fundamental features of debt instruments</li><li>• Regulatory framework in the Indian debt market</li></ul>     | 15             |
| July  | <ul style="list-style-type: none"><li>• central government securities: bonds, t-bills, state government bonds,</li><li>• Open market operations</li><li>• Securities Trading Corporation of India</li><li>• Primary dealers in Government Securities</li><li>• Clearing Corporation of India</li></ul> | 15             |
| Aug   | <ul style="list-style-type: none"><li>• Features of bonds</li><li>• Types of bonds</li><li>• Issuers of bonds</li><li>• Bond ratings- importance &amp; relevance and rating agencies.</li></ul>  | 15             |
| Sept  | <ul style="list-style-type: none"><li>• Determinants of the value of bonds</li><li>• Bond Mathematics</li><li>• Yield Curve Analysis</li></ul>   | 15             |

## M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Equity Market -1

Name of the Faculty: Amarpreet Banga

| Month | Topic to be covered   | No.of lectures |
|-------|---|----------------|
| June  | <ul style="list-style-type: none"><li>• Meaning and Definition of equity shares</li><li>• Growth of Corporate Sector and the simultaneous growth in the number of equity shareholders</li><li>• Separation of ownership and management in companies</li><li>• Development of equity culture in India- Current position</li></ul>  | 15             |
| July  | <ul style="list-style-type: none"><li>• IPO - Methods followed, Book Building, Offer for sale</li><li>• Role of Merchant bankers in fixing the price</li><li>• Red – Herring Prospectus – it's unique features</li><li>• ASBA and its features</li><li>• Green Shoe option</li><li>• Sweat equity, ESOP</li><li>• Rights issue of shares, Non voting shares ,ADR, GDR,IDR</li></ul> | 15             |
| Aug   | <ul style="list-style-type: none"><li>• Definition and functions of stock Exchanges</li><li>• Evolution and Growth of Stock Exchanges in India</li><li>• NSE, BSE, SME Exchanges and Overseas Stock Exchanges</li><li>• Recent Development in Stock Exchanges, Merger of SEBI with FOMC</li><li>• Stock Market Indices</li></ul>  | 15             |
| Sept  | <ul style="list-style-type: none"><li>• Need for attracting more investors towards equity</li><li>• Need for strengthening secondary markets</li><li>• Link between Primary Market and Secondary Market</li></ul>   | 15             |

## M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Portfolio Management

Name of the Faculty: Rahul Mehra

| Month | Topic to be covered  | No.of lectures |
|-------|--|----------------|
| June  | Investment process, Investment categories, defining investment goals and objectives, Investment constraints<br><br>Process of asset allocation, different approaches to allocation decision, overview of allocation techniques   | 15             |
| July  | Active strategy, passive strategy, semi active strategy, duration shift and immunization, efficient market hypotheses, top down and bottoms up   | 15             |
| Aug   | Analysis: Diversification, portfolio risk and return, single index model, The sharpe index model, Portfolio Beta<br><br>Selection: Markowitz Risk- Return optimization, concept of utility, Sharpe optimization model, Geometric mean model, Safety First model, Stochastic Dominance Model  | 15             |
| Sept  | Revision: Portfolio rebalancing, portfolio upgrading, Formula plans, constant ratio plan, variable ratio plan, selection and revision of equity portfolio<br><br>Performance measurement: Methods of calculating rate of return, Money weighted rate of return, Time weighted rate of return, Linked internal rate of return, Buying the index approach, Sharpe's- Treynor's – Jensen's performance measure of portfolio | 15             |

## M .L.Dahanukar College of Commerce

### Teaching Plan

**Department: B. Com (Financial Markets)**

**Class: SYBFM**

**Semester: III**

**Subject: Business Law 1**

**Name of the Faculty: Swapnil Shenvi**

| Month | Topic to be covered  | No.of lectures |
|-------|--|----------------|
| June  | <p>Indian Contract Act 1872</p> <p>Definitions (S.2): Agreement, kinds of Agreements, Contract- kinds of contracts: Valid, Void, Voidable, Contingent and Quasi Contract and Econtract, distinguish between Agreement and Contract.</p> <p>Offer or Proposal- definition, Essentials of Valid proposal or offer, counteroffer, Standing or open offer, distinguish between offer and invitation to offer, Acceptance-definition, Essentials of a valid acceptance, Promise, Communication of Offer and acceptance and Revocation.</p> <p>Capacity to contract (Ss.10-12), Consent and Free Consent (Ss.13-22)</p> <p>Consideration (S.2 and 25) and Void Agreements (Ss.24-30)</p> | 15             |
| July  | <p>Law of Indemnity and Guarantee (Ss.124-125, Ss-126-129,132-147)</p> <p>Law of Bailment and pledge (Ss. (Secs148,152-154,162, 172,178,178A and 179)</p> <p>Law of Agency (S. 182-185&amp; 201-209only)</p>   | 15             |
| Aug   | <p>Introduction, Definitions (Sec-2), Formalities of the contract of sale (Ss. 4-10), Distinction between 'sale' and 'agreement of sell, Distinction between 'sale and hire-purchase agreement' Conditions and Warranties (11-17)</p> <p>Transfer of property as between the seller and the buyer (sec-18-26)</p> <p>Rights of an unpaid seller (Secs-45-54)</p>   | 15             |
| Sept  | <p>Meaning and Characteristics of Negotiable Instrument, Operational rules of</p>  | 15             |

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|--|---|--|
|  | <p>Evidence –Presumptions, classification of Negotiable Instruments.</p> <p>Promissory Notes and Bills of Exchange(Ss. 4,5,108-116)</p> <p>Essential elements of Promissory Note and Bill of Exchange, Distinguish Between Promissory note and Bill of Exchange. Acceptor and Acceptance,</p> <p>definition of Acceptor, Acceptance for honour, Absolute and qualified or conditional acceptance, Drawer, Drawee in case of Need(Ss.7,115&amp;116)</p> <p>Payee,Cheques, Types of Cheque and Penalties in case of dishonour of certain</p> <p>cheques, distinguish between cheque and Bill of exchange, (Sec: 6,123-131A,138-147)</p> <p>Miscellaneous Provisions:(Secs:8-10,22,99-102,118-122,134-137)Holder(S.8), Holder in Due Course(S.9), Rights and privileges of H.D.C.Payment in due course (S.10), Maturity of an Instrument (S.22), Noting(S.99), Protest (S.100-102). Bills in Set. (Sec.13)</p> |  |
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## M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Money Market

Name of the Faculty: Nikhil Joshi

| Month | Topic to be covered   | No.of lectures |
|-------|---|----------------|
| June  | Meaning of Money Market, features of money markets, importance of money market: Role of Primary dealers in money markets, Development of trade & industry, Development of capital market, Smooth functioning of commercial banks, Effective central bank control, Formulation of suitable monetary policy, source of finance to government  | 15             |
| July  | Regulatory framework of RBI, FIMMDA (Fixed Income, Money Market and Derivatives Association) and Foreign Exchange Dealers Association of India (FEDAI), conDS, NDS-OM   | 15             |
| Aug   | Conventional: Treasury bills, Money at call and short notice in the call loan market, Commercial bills, promissory notes in the bill market.<br><br>Modern: Commercial papers, Certificate of deposit, Inter-bank participation certificates, Repo instrument, Banker's Acceptance.<br><br>Money Market mutual fund: meaning, advantages and disadvantages, regulatory framework for money market mutual funds, various money market funds operational in Indian market | 15             |
| Sept  | Recent development in Money Market: Integration of unorganized sector with the organized sector, widening of call Money market, Introduction of innovative instrument, Offering of Market rates of interest, Promotion of bill culture, Entry of Money market mutual funds, Setting up of credit rating agencies, Adoption of suitable monetary policy, Establishment of DFHI, Setting up of security trading corporation of India Ltd. (STCI)                          | 15             |

# M .L.Dahanukar College of Commerce

## Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Management Accounting

Name of the Faculty: Sarvottam Rege

| Month | Topic to be covered   | No.of lectures |
|-------|---|----------------|
| June  | Meaning, nature, scope and functions of Management accounting- Role of Management Accounting in decision making- Management accounting and Financial Accounting   | 15             |
| July  | Vertical form of Balance sheet and Profit & Loss account suitable for analysis<br>Trend Analysis<br>Comparative Statements<br>Common size statement<br>Simple problems based on the above   | 15             |
| Aug   | a) Balance sheet Ratios<br>Current Ratio<br>Liquid Ratio<br>Stock Working capital Ratio<br>Proprietary Ratio<br>Debt Equity Ratio<br>Capital Gearing Ratio<br>b) Revenue Statement Ratios<br>Gross Profit Ratio<br>Expenses Ratio<br>Operating Ratio<br>Net Profit Ratio<br>Stock Turnover Ratio<br>c) Combined Ratios<br>Return on Capital Employed (including long term borrowings)<br>Return on Proprietor's Fund (shareholders' fund and Preference Capital)<br>Return on Equity Capital<br>Dividend Payout Ratio | 15             |

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|------|--|----|
|      | Debt Service coverage Ratio<br>Debtors Turnover Ratio<br>Creditors Turnover Ratio  |    |
| Sept | Preparation of cash flow statements with reference to Accounting Standard No 3 – Indirect Method Only<br>Estimation of working capital requirements in case of trading and manufacturing organisations | 15 |

## M .L.Dahanukar College of Commerce

### Teaching Plan

Department: B. Com (Financial Markets)

Class: SYBFM

Semester: III

Subject: Computer Skills 2

Name of the Faculty: Shamal Parab

| Month | Topic to be covered  | No.of lectures |
|-------|--|----------------|
| June  | Pivot, Advanced formulae, Lookups, Macros, Working with other programmes, Inserting a spreadsheet sheet in word document, modifying an inserted spreadsheet, Inserting a linked chart in a Word Document, Inserting graphic in a spreadsheet | 15             |
| July  | Concepts, Tables, Fields, Datatypes<br>RDBMS Primary Key, Foreign Key  | 15             |
| Aug   | Introduction :<br>Enterprise Resource Planning – ERP<br>Supply chain Management- SCM<br>Customer Relationship Management- CRM<br>Sales Force Automation- SFA   | 15             |
| Sept  | Online trading software<br>Net-banking<br>Online banking software  | 15             |